

戶口持有人姓名

賬戶號碼

Name of Account Holder : _____

Account Number : _____

請執行本人/吾等的交收指示 Please take this letter as our / my instruction to :

請將下列證券由本人/吾等證券賬戶交付 Please **deliver** the below securities for my/our above account

請將下列證券接收到本人/吾等證券賬戶 Please **receive** the below securities from my/our above account

SI 證券交收指示 Settlement Instruction

證券商名稱 Broker Name : _____

證券商結算編號 Broker Participant ID : _____

聯絡人 Contact Person : _____

聯絡電話 Contact Number : _____

ISI 投資者交收指示 Investor Settlement Instruction

本人/吾等確認下列股票受益人身份並沒有因進行以上交易指示而改變。有關的交收將以本人在中央結算所開設的個人投資者戶口為對手。

I/We confirm that there is no change of beneficial ownership involved in the following transfer. Please settle this instruction with my personal investor account in CCASS.

客戶中央結算編號 CCASS IP A/C No. : _____

股份代號 Stock Code	股份名稱 Stock Name	股份數量 Quantity	備注 Remarks

戶口持有人簽署 (連同公司印鑑,如適用)

日期

Signature of Account Holder(s) (with company chop, ONLY if applicable)

Date

本公司內部用 For Official Use Only	
Signature verified by:	Handled by:
<input type="checkbox"/> 貨銀對付交收 Delivery Against Payment <input type="checkbox"/> 無須付款交收 Free of payment	交收日期 Settlement Date : _____ 交收總額 Total Settlement Amount : _____
Deliver <input type="checkbox"/> Check client stock <input type="checkbox"/> Confirmed with Counterparty <input type="checkbox"/> SI Input <input type="checkbox"/> Settled <input type="checkbox"/> Update system (Stock out) <input type="checkbox"/>	Receive <input type="checkbox"/> Confirmed and checked with Counterparty <input type="checkbox"/> SI Input <input type="checkbox"/> Settled <input type="checkbox"/> Update system (Stock in) <input type="checkbox"/>
Handling fee : \$ _____	<input type="checkbox"/> Collected